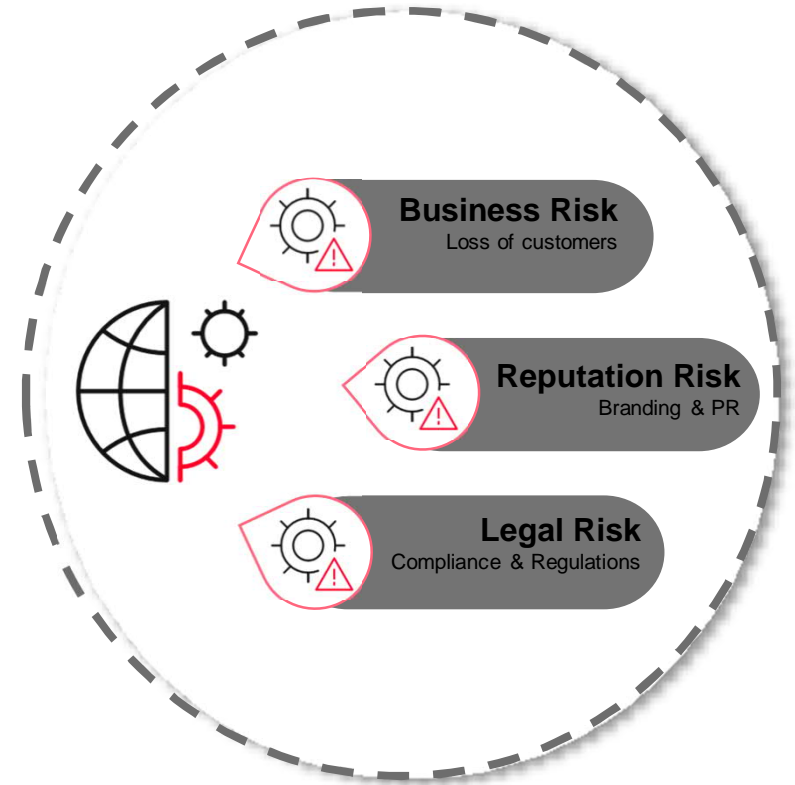
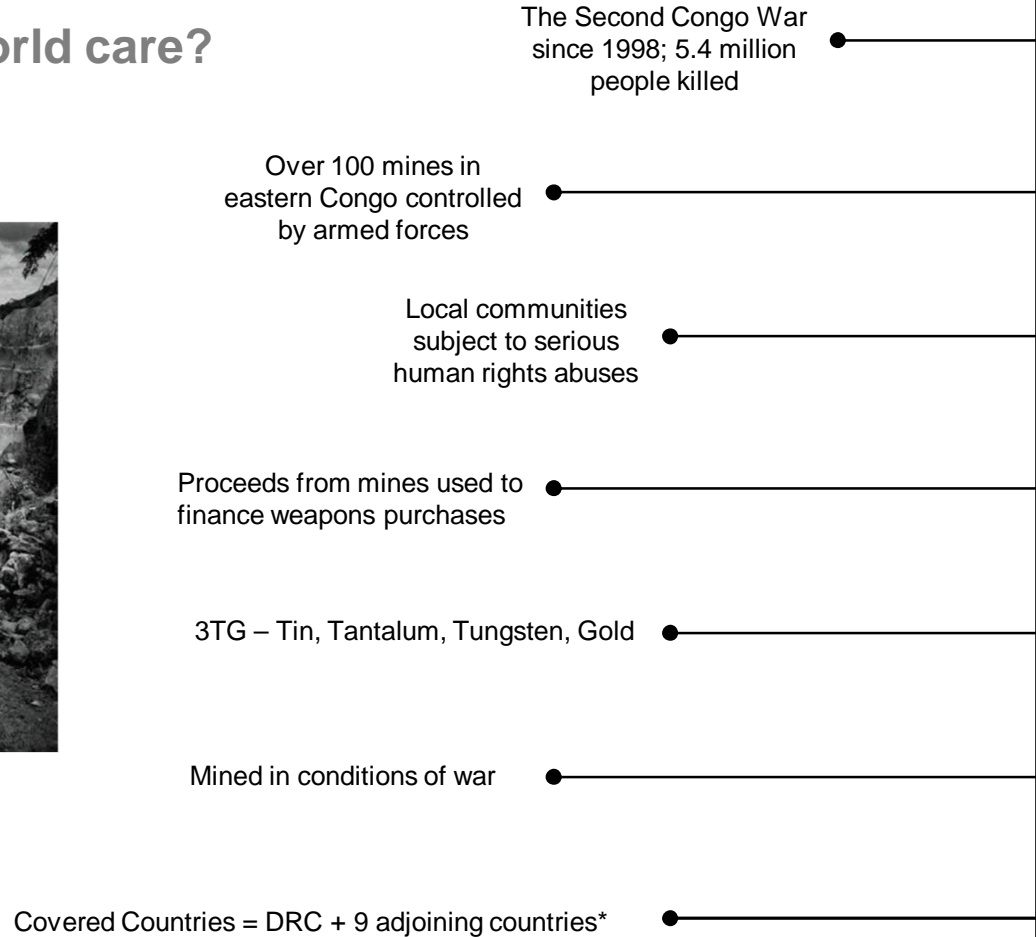


Conflict Minerals – Training for Hitachi Energy Suppliers

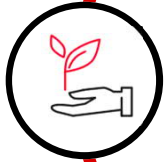
- ➔ Material Compliance Timeline
- ➔ Governance
- ➔ Doing Business with Hitachi Energy
- ➔ Our Supplier requirements
- ➔ Guidance on the Supplier Response Template -Conflict Minerals Reporting Template(CMRT)
- ➔ Additional information and resources

What are Conflict Minerals?

Why should the world care?



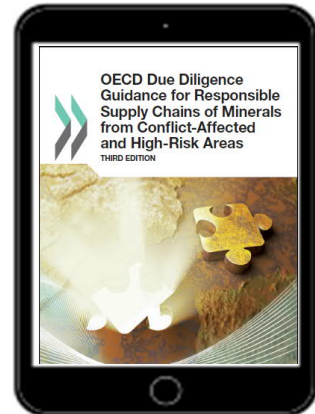
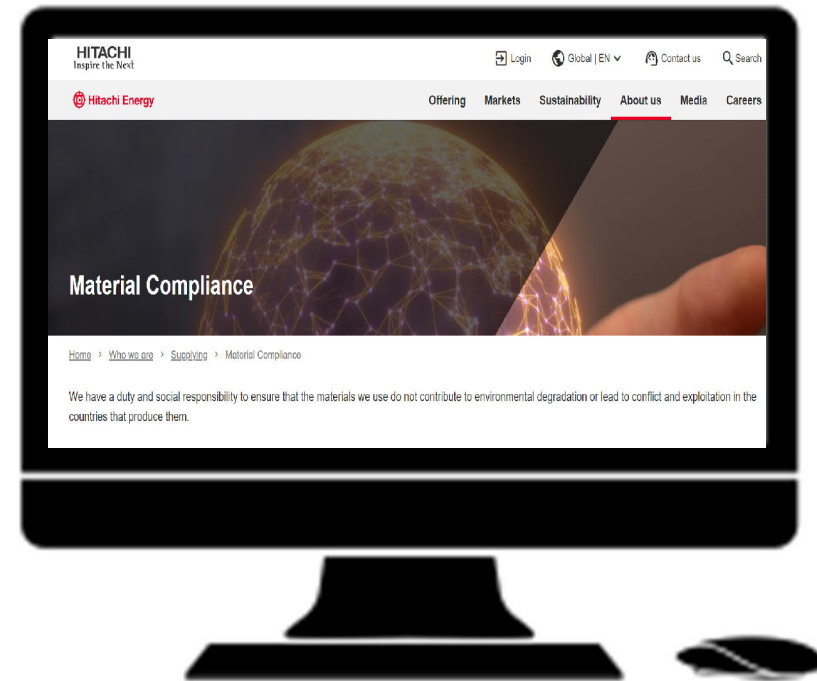
*Angola; Burundi; Central African Republic; the Republic of the Congo; Rwanda; South Sudan; Tanzania; Uganda, Zambia



Hitachi Energy approach is based on five key process steps of OECD Due Diligence Guidance for Responsible Supply Chain of Minerals.

What do we have in place?

- ✓ Conflict Minerals Policy
- ✓ Intensive focus on communication and training to key stakeholder groups
- ✓ Active participation in RMI's Smelter Engagement Team which includes research, outreach and visiting smelters



Process & Implications

1. Comply with the Hitachi Energy Supplier Code of Conduct
2. Work towards ensuring that any conflict minerals contained in the products supplied to Hitachi Energy do not originate from mines that support or fund conflict within the Democratic Republic of Congo and adjoining countries
3. Respond to Hitachi Energy request for evidence



Our suppliers' willingness to comply with these requests will be a factor in our future sourcing decisions

1. Comply with the Hitachi Energy Supplier Code of Conduct

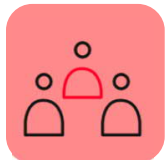
Hitachi Energy Supplier Code of Conduct has been updated to include requirements specific to conflict minerals:



Implement a policy



Timely Response



Conduct Due-Diligence

Quality, Compliance and Sustainability for Suppliers



Our Supplier Code of Conduct

[Read more >](#)



General Terms & Conditions for Purchase

[Read more >](#)



Supply Quality

[Read more >](#)



Supplier Sustainability

[Read more >](#)



Material Compliance

[Read more >](#)

2. Meeting compliance standards

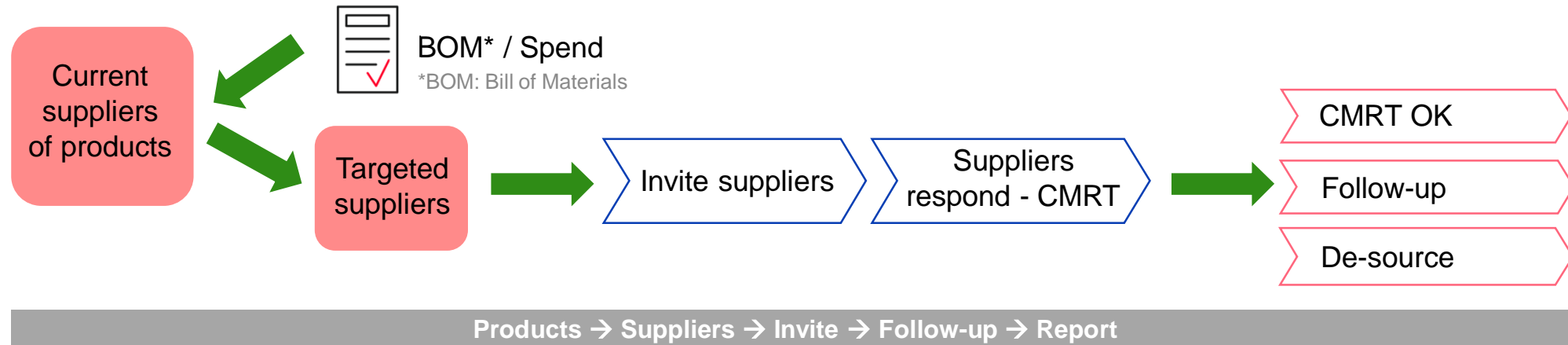
Work towards ensuring that any conflict minerals contained in the products supplied to Hitachi Energy do not originate from mines that support or fund conflict within the Democratic Republic of Congo and adjoining countries



- ✓ Undertake due diligence efforts
- ✓ Establish company management systems
- ✓ Identify and assess risks in the supply chain
- ✓ Design and implement a strategy to respond to identified risks

3. Respond to Hitachi Energy requests for evidence

Suppliers will be contacted by Hitachi Energy in the following way:



It is imperative that your responses are:

- Accurate
- Honest
- Timely

[Click here to download latest CMRT from RMI. We request you to use CMRT 6.0 and above as the latest template captures the required information to meet EU Regulation on Conflict Minerals that is in effect from Jan 2021](#)

Key Questions Overview

Conflict Minerals Reporting Template (CMRT) – how to answer Questions 1 to 8

- **Question 1:** Is any 3TG intentionally added or used in the product(s) or in the production process?
- **Question 2:** Does any 3TG remain in the product(s)? (*)
- If the answer provided for any of the 3TG listed is “**No**”, you do not need to complete any further information for that particular mineral – all further questions will be automatically blocked. If you answer “**No**” for all of the minerals, your declaration is considered complete.
- If the answer provided for any 3TG listed is “**Yes**”, responses are required for Questions 3 to 7 (if CMRT is below 6.0 version) for each 3TG as indicated by the yellow highlighted fields in the template. If you are using CMRT version 6.0 and above, responses are required for Questions 3 to 8 for each 3TG as indicated by the yellow highlighted fields in the template.

PLEASE NOTE THAT ALL RESPONSES MUST BE IN ENGLISH and LATEST VERSION OF CMRT 6.0 AND ABOVE TO BE USED

Supplier Response Template (For CMRT version 6.0 and above)

Declaration worksheet – Questions 1 to 4

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Question 1: From the drop-down menu, select the correct answer for each metal and provide as much information as possible in the comments box

2) Does any 3TG remain in the product(s)? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Answering "No" in Question 2 for any metal means that Questions 3–8 are automatically blocked out for this metal

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Questions 3-4: Related to origin -From the drop-down menu, select the correct answer for each metal and provide as much information as possible in the comments box

4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

PLEASE NOTE THAT ALL RESPONSES MUST BE IN ENGLISH and LATEST VERSION OF CMRT 6.0 AND ABOVE TO BE USED

Supplier Response Template(For CMRT version 6.0 and above)

Declaration worksheet –Questions 6 to 8

5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Questions 5: Related to origin -From the drop-down menu, select the correct answer for each metal and provide as much information as possible in the comments box

6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Question 6: If you answer "100%" or "With a percentage %", you must complete the Smelter List worksheet.

7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

Questions 6-8: From the drop-down menu, select the correct answer for each metal and provide as much information as possible in the comments box

8) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum (*)		
Tin (*)		
Gold (*)		
Tungsten (*)		

PLEASE NOTE THAT ALL RESPONSES MUST BE IN ENGLISH and LATEST VERSION OF CMRT 6.0 AND ABOVE TO BE USED
Reach us @ in-responsiblemineralssourcing@hitachienergy.com for any guidance on CMRT

General information

- SEC Conflict Minerals Ruling ([link](#))
- OECD Guidelines ([link](#))
- RMI's Practical Guidance for Downstream Companies on Reasonable Practices to Identify Sources of Conflict Minerals ([link](#))

Additional information for suppliers

- Hitachi Energy Supplier Code of Conduct ([link](#))
- Hitachi Energy Policy on Conflict Minerals ([link](#))
- Supplying to Hitachi Energy Portal ([link](#))
- Hitachi Energy Material Compliance for Suppliers ([link](#))
- Conflict Minerals ([video](#))



The purpose of this training material is to provide background information to Hitachi Energy suppliers on conflict minerals, communicate Hitachi Energy's requirements regarding conflict minerals and provide guidance on how to fulfil these requirements. The recommendations in this training material are based on Hitachi Energy's understanding and experience and do not relieve Hitachi Energy suppliers from their obligations to follow all applicable legal requirements, including contractual obligations.

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